



Windsor Advisory Group, LLC

2130 Quarry Trails Drive, 1st Floor

Columbus, Ohio 43228

614-545-0300

www.windsoradvisorygroup.com

March 26, 2026

Form ADV, Part 2 (Brochure) provides potential, new and current Clients (you, your) information about the qualifications and business practices of Windsor Advisory Group (us, we, our). If you have any questions about the contents of this Brochure, please contact us at 614-545-0300. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (SEC) or by any State Securities Authority.

We are an investment adviser registered with the Securities and Exchange Commission. Our registration as an Investment Adviser does not imply any level of skill or training. The oral and written communications we provide to you, including this Brochure, contain information you may use to evaluate us (and other advisers) and may be a factor in your decision to hire us or to continue to maintain an advisory relationship with us.

Additional information about Windsor Advisory Group is also available at the SEC's website www.adviserinfo.sec.gov (click on the link, select "investment adviser firm" and type in our firm name). Results will provide you with Parts 1, 2 and 3 of our Form ADV.

Item 2 – Material Changes

This Brochure dated March 26, 2026, is the annual update to our Form ADV 2A. There have been no material updates since the filing of our last annual amendment, dated March 27, 2025.

Item 3 - Table of Contents

Item 1 - Cover Page	
Item 2 - Material Changes	Page ii
Item 3 - Table of Contents	Page iii
Item 4 - Advisory Business – Discussion of Personal CFO	Page 4
Item 5 - Fees and Compensation	Page 5
Item 6 - Performance-Based Fees and Side by Side Management	Page 6
Item 7 - Types of Clients	Page 6
Item 8 - Methods of Analysis, Investment Strategies and Risk of Loss	Page 7
Item 9 - Disciplinary Information	Page 8
Item 10 - Other Financial Industry Activities and Affiliations	Page 8
Item 11 - Code of Ethics, Participation or Interest in Client Transactions and Personal Trading	Page 9
Item 12 - Brokerage Practices	Page 10
Item 13 - Review of Accounts	Page 12
Item 14 - Client Referrals and Other Compensation	Page 13
Item 15 - Custody	Page 13
Item 16 - Investment Discretion	Page 13
Item 17 - Voting Client Securities (i.e., Proxy Voting)	Page 14
Item 18 - Financial Information	Page 14

Item 4 – Advisory Business – Discussion of Personal CFO

Principal Owners

Windsor Advisory Group, LLC (Windsor) is a limited liability company originally organized in 2002. The principal owners of the firm are Harry Dye, III (Trey), Jonathon Eesley, John Mozola and Clayton Hall.

Advisory Services

We are an investment advisory firm that provides custom solutions for ultra-high net worth individuals and families. The services provided are client-dependent and may include consulting and family office services for various financial matters.

Consulting services include consulting on client's taxes, trust and estate, charitable giving, insurance, banking, and private business transactions. Family office services involve acting as a personal CFO and coordinating with the family's team of professionals, including attorneys, accountants, and other trusted advisers.

Portfolio Management

Portfolios under our investment management supervision are usually treated as discretionary relationships (outlined in Item 16). However, we also have some client consult relationships where clients direct the purchase or sale of certain securities within their portfolio. Clients may also impose restrictions on investing in certain securities or types of securities.

For each client, we typically develop a customized Cash Flow/Investment Plan (Plan) based on their unique goals, liquidity needs, and overall financial objectives. We will implement the Plan using private investments, separately managed accounts (SMAs), ETFs and mutual funds.

Windsor Investment Vehicles

We serve as the Manager of private investment funds, collectively referred to as Windsor Investment Vehicles. Windsor Investment Vehicles were created by Windsor and are organized as Ohio limited liability companies (LLCs). Each fund invests substantially all of its investment assets in the limited partnership interests of its respective single underlying private fund. Windsor Investment Vehicles may be a component of a client's investment plan, if suitable.

Assets under Management

As of 12/31/25 we had total regulatory assets under management (RAUM) of \$2,924,632,360. Discretionary assets under management were \$2,883,643,781 and non-discretionary assets were \$40,988,579.

Item 5 – Fees and Compensation

Our advisory fee is based on the advisory and/or consulting and family office services we provide to you. We charge an advisory fee in one of three (3) ways:

- based on assets under management (AUM),
- a fixed fee, or
- a combination of an asset-based and a fixed fee.

If your advisory fee is based on your assets under management (AUM), you will pay an annual advisory fee of 0.50% (50 basis points) for AUM of \$10 million or more. If your AUM is less than \$10 million, you will pay an annual advisory fee of 0.65% (65 basis points).

The fixed fee is determined by the complexity of your financials and the services we provide. We will charge a per project fee for certain types of services that do not include investment management.

Your advisory fee will be paid quarterly, in arrears. If your advisory fee is based on assets under management, your fee will be calculated based on our portfolio accounting system's value of your assets on the last calendar day of each month during the calendar quarter.

Fees are prorated for the number of days in which your advisory agreement is in effect. You may give written permission, via the advisory agreement you have with us and certain qualified, independent custodian's account documents, for your advisory fees to be directly debited by the custodian from your accounts. You may terminate the written permission at any time. In the alternative, you may choose to receive and pay an invoice for advisory services.

We reserve the right to negotiate our advisory fees. The fees that we charge for advisory services, fixed fees, or project fees are specified in your advisory agreement or other services agreement you have with us.

Fees charged by third-party investment managers and mutual funds are in addition to the advisory fee charged by us. The third-party managers directly charge you for their investment management services. The advisory fee that you pay us does not include commissions or other operational expenses of investment products which may be charged directly or indirectly to you. The third-party managers directly charge you for their investment management services. The advisory fee that you pay us does not include commissions or other operational expenses of investment products which may be charged directly or indirectly to you.

Item 12 of this Brochure further describes the factors that we consider in selecting or recommending broker-dealers for securities transactions and determining the reasonableness of their compensation (e.g., commissions). You have the option to purchase securities that we recommend through other brokers or agents with whom we are not affiliated.

Valuation

Marketable securities held in a client's custodian account are priced by the custodian or another third-party service provider. Windsor is not involved in the pricing of these securities.

The manager or administrator provides the value for a private investment, typically via monthly or quarterly statements. If actual values are not available, Windsor applies estimated performance provided by the manager or the administrator to the most recent value. Windsor adjusts values to include distributions and contributions during the quarter.

Windsor Investment Vehicles

There are variations in fee schedules for the Windsor Investment Vehicles. Management fees are disclosed in each Windsor Investment Vehicle's offering documents. Windsor can waive, rebate, or reduce the amount in its sole discretion. Currently we have elected to not charge a management fee for any of the Windsor Investment Vehicles. Our clients will pay only the advisory fee as specified in their Advisory Agreement with us.

Expenses related to the administration of the Windsor Investment Vehicles (legal, audit, third party administrator, etc.) will be borne by investors in the fund as a reduction in their net asset value. A more complete description of the expenses paid in connection with an investment in the Windsor Investment Vehicles, as well as the expenses of the Windsor Investment Vehicles, is available in the confidential private offering memorandum and other governing documents of each Windsor Investment Vehicle.

Item 6 – Performance-Based Fees and Side-By-Side Management

We do not charge advisory fees on a share of the capital appreciation of the funds or securities in your account (performance-based fees). Our advisory fee compensation is charged only as disclosed in Item 5.

Item 7 – Types of Clients

While we focus on ultra high net worth individuals and families, we also provide advisory services to their trusts, corporations, insurance companies and other business entities, corporate pension plans, public foundations, private foundations and privately offered funds.

We seek clients with investible assets of \$20 million or more but may lower this threshold at our discretion.

Windsor Investment Vehicles

The minimum initial investment into each Windsor Investment Vehicle is \$250,000 with minimum additional investments of \$50,000, however these minimums may be waived by us as the Manager of each fund.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

We develop a Plan for you based on your goals and overall financial objectives. Based on your Plan, we generally build your portfolio utilizing a combination of the following asset classes:

- Cash and Cash Equivalents
- Public Debt
- Public Equity
- Hedge Fund
- Structured Credit
- Private Equity/Private Debt
- Real Estate

In conjunction with your Plan and the size of your portfolio, a variety of the following is used:

- Money Market Funds or Cash Sweeps
- Separately Managed Accounts (SMAs)
- Exchange Traded Funds (ETFs)
- Mutual Funds (open and closed)
- Private Investments

Third-Party Investment Manager Selection Approach

Our manager selection process includes analyzing many qualitative and quantitative characteristics.

Investment Strategy

- Focused strategy and track record
- Sized to the opportunity set

Investment Process

- Repeatable process
- Systems to implement and execute

People

- Manager with significant investment (alignment)
- Extensive experience
- While we strongly consider key personnel, we favor managers with a deep team

Regular Review of Third-Party Managers

Our ongoing third-party manager review process includes the same selection criteria used during the initial selection process. In addition to the above, our due diligence process for private placements also includes review of current and past financials as well as current valuations.

Risks Associated with Investment Strategies

All investments involve risk of loss that clients should be prepared to bear, including loss of your principal. Asset values can fluctuate substantially over time. Certain investments may not be appropriate for every client. We cannot guarantee any level of performance, continuation of historical success, or that you will not experience a loss. There is no guarantee that our strategy, or the strategy of third-party managers selected by us, will achieve its objective or prove successful.

Risks Associated with Securities Used in Investment Strategies

Windsor Investment Vehicles The investment objective of the Windsor Investment Vehicles is to provide clients with access to a particular underlying private fund. As the Windsor Investment Vehicles primarily invest in underlying private funds managed by third-party managers, the risks relating to the underlying private funds should be considered before investing. For detailed information on the investment objectives of the Windsor Investment Vehicles and specific risk factors, please refer to the respective private fund offering and governing documents.

Third-Party Private Investment Vehicles When suitable, Windsor recommends that clients invest in private investment vehicles. Private investment vehicles are not required to be registered securities, but most are managed by registered investment companies. The managers of these vehicles have broad discretion in selecting the investments. There are few limitations on the types of securities or other financial instruments which may be traded, and some have no requirement to diversify. Private investment vehicles may trade on margin or otherwise leverage positions. Clients should consult each investment vehicle's private placement memorandum and/or other documents explaining such risks prior to investing.

Item 9 – Disciplinary Information

We do not have any legal, financial, or other “disciplinary” items to report to you. We are obligated to disclose any disciplinary event that would be material to you when evaluating us to initiate or to continue an advisory relationship with us.

Item 10 – Other Financial Industry Activities and Affiliations

Windsor has arrangements with other unaffiliated investment advisers to provide sub-advisory services for its clients. Clients acknowledge Windsor's discretion to appoint a sub-adviser and that the compensation owed to the sub-adviser is in addition to the advisory fee paid to Windsor. Windsor does not receive compensation directly or indirectly from other investment advisers that we recommend or select for our clients. There may be circumstances where Windsor acts in an opposite manner for a client than the sub-adviser, given the specific investment guidelines each is managing for the client. For example, the sub-adviser may buy a security for the client's account that Windsor is selling.

A management person of Windsor currently sits on the board of directors for a private company for which he receives annual compensation and a per meeting stipend. This company is not owned by the Windsor Investment Vehicles.

A management person of Windsor currently sits on the board of directors for a private company he is invested in. Certain clients of Windsor have also invested in this private company independently from

the management person's association with the company and some clients also sit on the board of directors. To mitigate the conflict of interest, we do not make recommendations on whether or not to invest in this company and have prohibited Windsor's supervised persons from investing in this company. This company is not owned by the Windsor Investment Vehicles.

A management person of Windsor is a partner in a privately held real estate development company's properties. Certain clients of Windsor have also independently invested in these properties prior to the management person's association with Windsor. In addition, other principals in the development company are advisory clients of Windsor. To mitigate the conflict of interest, we do not make recommendations on whether or not to invest in the development company's properties. These investments and outside business activities are monitored under the Firm's Code of Ethics.

Windsor currently has clients who are in positions of control or have influence over firms that manage private placements. If suitable and appropriate, we may recommend that our clients invest in the private placements issued by these firms. The clients who are in positions of control will have access to more information about these particular investments than other investors in these private placements. Our Investment Committee performs initial and ongoing due diligence on private placements to determine whether to recommend the investment to clients.

If suitable and appropriate, we may recommend Windsor Investment Vehicles to you, which are managed by us. To avoid a financial conflict of interest, we will not charge an additional management fee on our clients' assets invested in Windsor Investment Vehicles. See Item 5 (Fees and Compensation) for an explanation of the fees associated with Windsor Investment Vehicles.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

All Supervised Persons are held to our Code of Ethics that governs potential conflicts of interest our Supervised Persons may have when providing our advisory services to you. Our Code of Ethics is designed to ensure we meet our fiduciary duty to you. Our policies and procedures include personal trading monitoring and reporting requirements and a prohibition on insider trading.

At the time they are hired, each Supervised Person is provided with a copy of Windsor's Code of Ethics and receives training regarding its requirements. We hold an annual training session for the Code of Ethics and monitor activity in the personal securities accounts of Supervised Persons on a quarterly and annual basis.

We will provide a copy of our Code of Ethics to any client or prospective client upon request.

We may from time to time invest for our own benefit or for the firm's benefit, in the same securities that we recommend to you. Our Supervised Persons may buy, sell and hold securities identical to those held in your portfolios. Our Supervised Person may buy or sell securities which are held in or recommended for your accounts at different times than those securities are bought or sold in your accounts. This presents a potential conflict of interest because employees could trade ahead of clients and possibly receive more favorable prices. Windsor's Code of Ethics is designed to address such conflicts of interest by requiring all Supervised Persons to always place the interests of our clients ahead of their own.

Our Supervised Persons may open accounts with sub-advisers that we have hired to manage your assets. These accounts will be treated in the same manner by the sub-adviser as your accounts which are managed by the sub-adviser. The sub-adviser will charge the same investment management fee for the management of our Supervised Persons accounts as it charges for the investment management of your accounts.

Our Supervised Persons may invest in private placements at the same time and at the same terms as our clients.

Participation or Interest in Client Transactions

Generally, Windsor does not engage in principal or cross transactions. However, should a situation arise where a principal or cross transaction is believed to be in the best interest of its client(s), Windsor will provide written disclosure and relevant details of the proposed transaction to you prior to the completion of the transaction and will require your consent in writing. The disclosure document will outline the conflicts of interest, the date of the transaction and the valuation methodology.

Windsor does not act as broker, nor does it collect a fee or commission, on these types of transactions.

Item 12 – Brokerage Practices

Broker Selection and Best Execution

Windsor is generally retained to manage client accounts on a discretionary basis which authorizes us to direct execution of portfolio transactions without transaction-by-transaction consultation with you.

We will select broker-dealers to execute portfolio transactions unless you designate a specific broker-dealer. Based on our experience and ongoing due diligence, we typically use Charles Schwab (Schwab). Our general objective in selecting broker-dealers is to obtain the best combination of price and transaction costs (best execution). In addition, we strongly consider factors such as financial stability, execution capability, service levels, and clearance and settlement capability.

While we may recommend that you establish custodial accounts with Schwab, a registered broker-dealer, member SIPC, to maintain custody of your assets and to affect trades for your accounts, you are not required to maintain custodial accounts with Schwab. If you decide to open a custodial account at Schwab, you will enter into an account agreement directly with them. We will assist you in opening your custodial account. While maintaining a custodial account at Schwab, you may also maintain custodial accounts with other third-party custodians. We are independently owned and operated and not affiliated with Schwab. Schwab provides us with access to its institutional trading and custody services. Schwab's services include brokerage, custody, research and access to mutual funds and other investments that are otherwise only available to institutional investors or for retail clients at a significantly higher minimum initial investment.

For your accounts maintained in its custody, Schwab generally does not charge separately for custody but is compensated by you through commissions or other transaction-related fees for securities trades that are executed through Schwab or that settle into Schwab accounts. However,

Schwab may charge fees in your custodial account for any cash balance held in one of its money market funds.

We believe that Schwab charges commissions for trade execution which are reasonable in relation to the services which Schwab provides to us, including access to mutual funds which are not available to retail investors or in a "fund direct" arrangement. However, if you maintain custodial accounts with Schwab, you may be charged additional fees for securities trades executed by a broker other than Schwab. The commissions charged by Schwab for its trade execution services are reviewed by us. We believe that working with Schwab is consistent with our effort to seek best execution for you.

We receive special pricing schedules for certain types of transactions from Schwab, for our clients' accounts, based upon our overall relationship with Schwab and as an incentive for us to continue to recommend Schwab to our clients.

Certain mutual funds shares may be purchased directly from a mutual fund distributor and certain mutual funds are available through Schwab with no transaction charges. However, we may recommend mutual funds to you for which Schwab charges an additional transaction fee if we believe these mutual funds are a better investment compared with the mutual funds with no transaction charge.

In addition to Schwab, we work with other custodians to obtain services related to our advisory business. These firms may provide you with account management, custody and reporting services.

Other Benefits

We may also consider the value of products and services provided or paid for by a broker-dealer. Such products and services may include research, reports on companies, industries and securities, economic and financial data, pricing data, financial publications, and services incidental to effecting securities transactions (such as clearance, settlement, and custody).

Schwab also makes available products and services to assist us in managing and administering your accounts. These include:

- software and other technology that provide access to your account data (such as trade confirmations and account statements);
- facilitate trade execution (and allocation of aggregated trade orders for multiple accounts);
- facilitate payment of our investment management fees from your accounts; and
- assist with back-office functions, recordkeeping, and client reporting.

Schwab also makes available to us other services intended to help us manage and further develop our business. These services may include:

- consulting; and
- publications and conferences on practice management, information technology, business succession, regulatory compliance, and marketing.

The benefits described above may or may not directly benefit you or your accounts.

As a fiduciary, we always act in your best interests, however our recommendation that you maintain your assets in accounts at Schwab may be based in part on the benefit to us of the availability of some products and services and not solely on the nature, cost or quality of custody and brokerage services provided by Schwab, which may create a potential conflict of interest.

Directed Brokerage

You may direct that we use a particular broker-dealer to execute portfolio transactions. If you designate the use of a particular broker-dealer you should understand that:

- we generally will not attempt to negotiate commissions with designated broker-dealers; and
- you may pay higher commissions than you might otherwise have paid if you had not made such a designation.

Block Trading and Trade Allocation

At our discretion, we are permitted, but not required, to enter into block trades for client accounts. Block trading occurs when orders for several clients are combined before submitting to a broker. The blocked transaction must be in the best interest of each client participating in the order, obtain best execution and be consistent with each client's Plan. The price of the securities purchased or sold in a block trade will be at the average share price for all transactions of the clients in that security on a given day. Schwab will charge an additional commission for each trade placed in your account.

We have adopted a trading policy that is intended to ensure that all trades are undertaken and, where necessary, allocated to you in a manner that fulfills our fiduciary obligations to you. The policy allocates securities on a basis that is fair, equitable, and consistently applied. Our policy, unless directed otherwise by you, is to allocate pro-rata based on the average share price across all accounts involved in the transaction.

Trade Errors

Our firm has systems and processes in place to prevent trade errors; however, errors may occur as a result of system malfunctions, trader or broker error or other circumstances. If a trade error does occur, prompt action is taken, and if a client account is affected, our firm will be responsible for any client loss. All trade errors are reported to Windsor Management.

Item 13 – Review of Accounts

All accounts are reviewed at least quarterly to manage asset allocation. Factors that may trigger an additional review include, but are not limited to, changes in your circumstances or objectives, cash flows, and/or revised recommendations on managers or asset classes. Your designated client team is responsible for reviewing your accounts.

Clients will receive quarterly portfolio reports from us regarding portfolio activity, allocation and performance or account statements from the custodian. Reports will be delivered in person, sent via the mail or provided electronically. Clients also have access to our online portal.

All Windsor Investment Vehicle investors will have the option to receive a quarterly communication from the administrator of each fund containing the unaudited results for the previous quarter.

Item 14 – Client Referrals and Other Compensation

We may refer you to other professionals such as attorneys, CPAs, etc. These same professionals may refer their clients to us. We receive no compensation from either you or the other professionals for these referrals.

We receive an economic benefit from Schwab in the form of the support products and services available to us and other independent investment advisors that have their clients maintain accounts with them. These products and services, how they benefit us, and the related conflicts of interest are described above (see Item 12 – Brokerage Practices). The availability of these products and services to us is not based on us giving particular investment advice, such as buying particular securities for our clients.

Item 15 – Custody

We do not have physical custody of any client assets. However, due to certain types of money movement activities, which have been authorized by some of our clients, we are deemed as having custody under amended Rule 206(4)-2 and are subject to an annual surprise audit of certain types of money movement activities by a certified public accounting firm.

If you elect to directly debit your advisory fee, we calculate your advisory fee and submit that fee amount to the qualified custodian. With your written authorization the qualified custodian withdraws our advisory fee from your custodial account.

You should receive regular statements from the independent, qualified custodian that holds and maintains your investment assets. The custodian's statement is the official record of your account and assets at that custodian. We encourage you to carefully review such statements and compare such official custodial records to the reports that we provide to you. Our reports may vary from custodial statements based on accounting procedures, reporting dates or valuation methodologies of certain securities and assets held outside of the custodian.

The Windsor Investment Vehicles are audited on an annual basis and performed in accordance with Generally Accepted Accounting Principles by an independent accountant. The audited financial statements are distributed to investors in the Windsor Investment Vehicles.

Item 16 – Investment Discretion

Generally, we are retained to manage your accounts on a discretionary basis based on the language of our advisory agreement with you. For marketable securities, in a discretionary advisory relationship, we are authorized to direct execution of portfolio transactions without transaction-by-transaction consultation with you. Such discretion is exercised in a manner consistent with your investment objectives. If appropriate, we will recommend investments to you in private placements that are not marketable securities which are offered as interests in limited partnerships or other entities. You will make the decision to invest in the private placement and will execute the appropriate documents to

do so.

We have some advisory relationships in which we consult with the client before we process any transaction in their account.

We exercise discretion over the Windsor Investment Vehicles based on the Windsor Investment Vehicles' applicable investment objectives, policies and strategies as detailed in the confidential private offering memorandum and other governing documents.

Item 17 – Voting Client Securities (i.e., Proxy Voting)

Windsor does not vote proxies on behalf of clients unless contractually obligated to do so. Custodians will send proxy ballots for holdings in your account to you or your designated representative. We may answer client questions regarding proxy-voting matters in an effort to assist clients in determining how to vote the proxy; provided, however, the final decision of how to vote the proxy rests with the client.

Client accounts managed by a third-party investment manager will have their proxies handled according that manager's proxy voting policies and procedures.

Proxies received on behalf of a client that Windsor is voting will be voted in accordance with the proxy voting policy and guidelines developed by an independent, third-party service provider.

The proxy voting policy and guidelines and information regarding how proxies were voted on your behalf are available via email requests to info@wag-llc.com.

Item 18 – Financial Information

We have no financial commitment that impairs our ability to meet contractual and fiduciary commitments to you and have not been the subject of a bankruptcy proceeding.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Harry M. Dye, III (Trey)
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
trey@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Trey Dye, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Harry M. Dye, III (Trey)

YOB: 1964

Business Background

2002 - Present – Partner of Windsor Advisory Group, LLC and its predecessor firms

Formal Education

The Ohio State University – Bachelor of Science – Business Administration – 1986

Designations

Certified Public Accountant – CPA – 1990 – currently inactive

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not applicable.

Item 5 – Additional Compensation

Not applicable.

Item 6 – Supervision

Trey is a Partner of Windsor. He must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or other Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Part 2B of Form ADV Brochure Supplement

Item 1 Cover Page

Jonathon S. Eesley
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
jeesley@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Jon Eesley, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Jonathon S. Eesley

YOB: 1957

Business Background:

10/12 - Present – Partner of Windsor Advisory Group, LLC

Formal Education:

The Ohio State University – BSBA – 08/78

Designations

Certified Public Accountant – CPA – inactive

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Jon serves as a member of the Board of Directors for Highlights for Children and Fortuna Bank.

Additional details are provided in Form ADV Part 2A.

Item 5 – Additional Compensation

Jon receives an annual retainer and a per meeting stipend for his services as a director for Highlights for Children. Currently, there is no compensation for Jon's services as a director for Fortuna Bank however there is plan for equity awards to be granted.

Item 6 – Supervision

Jon is a Partner of the firm. He must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or other Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Part 2B of Form ADV Brochure Supplement

Item 1 Cover Page

John E. Mozola
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
john@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, John Mozola, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

John E. Mozola

YOB: 1983

Business Background:

01/18 - Present – Partner of Windsor Advisory Group, LLC

05/13 - 01/18 – COO, Windsor Advisory Group, LLC

03/08 - 05/13 – Assistant Vice President, Manager – Bernstein Global Wealth Management

Formal Education:

The Ohio State University – BSBA – 06/05

Designations

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

John is a Partner of Windsor and must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or other Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Clayton Hall
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
CHall@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Clayton Hall, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Clayton A. Hall

YOB: 1985

Business Background:

12/21 - Present – Partner of Windsor Advisory Group, LLC

08/18 - 12/21 – Managing Director, Windsor Advisory Group, LLC

2014 - 2018 – Executive Director, J.P. Morgan

2009 - 2014 – Vice President, Goldman Sachs & Co.

Formal Education:

Georgetown University – BSBA, Finance – 2008

Designations

Chartered Investment Management Analyst (CIMA®) – issued by Investments & Wealth Institute (formerly IMCA) – 2016

- The CIMA certification signifies that an individual has met initial and ongoing experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. To earn CIMA certification, candidates must: submit an application, pass a background check and have an acceptable regulatory history; complete an in-person or online executive education program through a Registered Education Provider; pass an online Certification Examination; pass a second background check; and have three years of financial services experience at the time of certification.

CIMA certificants must adhere to Investments & Wealth Institute's *Code of Professional Responsibility*, and *Rules and Guidelines for Use of the Marks*. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification.

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

Clayton is a Partner of Windsor. Clayton must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or other Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Carter E. Gerlach
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
CGerlach@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Carter Gerlach, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Carter E. Gerlach

YOB: 1987

Business Background:

1/24 - Present – Managing Director, Windsor Advisory Group, LLC

08/19 - 1/24 – Director, Windsor Advisory Group, LLC

06/16 - 08/19 – Associate Director, The Columbus Foundation

01/14 - 06/16 – Investment Specialist, J.P. Morgan

06/09 - 1/14 – Business Analyst, J.P. Morgan Private Bank

Formal Education:

Colgate University – BA in Economics & Spanish – 05/09

Designations

Certified Financial Planner ® (CFP®)

- The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.
- To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:
 - Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university).
 - Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
 - Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
 - Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Chartered Advisor in Philanthropy ® (CAP®)

- The CAP® certification requires a minimum of three courses in philanthropic studies and six hours of rigorous, supervised written examinations. The curriculum addresses the advanced design, implementation and management of charitable gift techniques and strategies, as well as philanthropic tools that include charitable trusts, private foundations, supporting organizations, donor-advised funds, pooled income funds and charitable gift annuities.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

Carter is supervised by John Mozola. He is a Partner of Windsor and his phone number and office address are listed on the front of this Brochure Supplement.

Carter must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or the Partners of the firm. In addition, she must complete annually an Outside Activities Report which provides information on her activities outside of Windsor.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Samantha I. Buck
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
SBuck@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Samantha Buck, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Samantha I. Buck

YOB: 1996

Business Background:

03/26 – Present – Managing Director, Windsor Advisory Group, LLC

02/23 - 03/26 – Director, Windsor Advisory Group, LLC

02/22 - 02/23 – Manager, Windsor Advisory Group, LLC

07/20 - 02/22 – Senior Associate, Windsor Advisory Group, LLC

05/18 - 07/20 – Associate, Windsor Advisory Group, LLC

Formal Education:

Ohio State University – BS in Actuarial Science – 05/18

Designations

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

Samantha is supervised by John Mozola. John is a Partner of Windsor and his phone number and office address are listed on the front of this Brochure Supplement.

Samantha must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or the Partners of the firm. In addition, she must complete annually an Outside Activities Report which provides information on her activities outside of Windsor.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Jacob T. Chockran
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
JChockran@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Jacob Chockran, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Jacob T. Chockran

YOB: 1991

Business Background:

12/21 - Present – Director, Windsor Advisory Group, LLC

03/19 - 11/21 – Senior Investment Analyst, Windsor Advisory Group, LLC

01/18 - 02/19 – Investment Analyst, Windsor Advisory Group, LLC

06/16 - 12/17 – Associate, Windsor Advisory Group, LLC

08/14 - 06/16 – Assurance Staff, Plante Moran

Formal Education:

The Ohio State University – MAcc – 2014

The Ohio State University – BSBA, Honors Accounting – 2013

Designations

Certified Public Accountant (CPA), 2015

- CPA is the statutory title of qualified public accountants in the US who have passed the Uniform Certified Public Accountant Examination and have met additional state education and experience requirements. Certification is administered by each state.

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

Jacob is supervised by Trey Dye. Trey is a Partner of Windsor and his phone number and office address are listed on the front of this Brochure Supplement.

Jacob must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or the Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Cory D. Hinaman
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
Cory@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Cory Hinaman, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Cory D. Hinaman

YOB: 1996

Business Background:

05/25 - Present – Director, Windsor Advisory Group, LLC

01/23 - 05/25 – Manager, Windsor Advisory Group, LLC

01/22 - 01/23 – Senior Associate, Windsor Advisory Group, LLC

06/19 - 01/22 – Associate, Windsor Advisory Group, LLC

Formal Education:

The Ohio State University – BSBA, Finance & Economics – 05/19

Designations

Chartered Financial Analyst (CFA) – Issued by CFA Institute – 2022

- To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.
- The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

Investment Adviser Representative (IAR) – State of Ohio - 2023

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

Cory is supervised by John Mozola. John is a Partner of Windsor and his phone number and office address are listed on the front of this Brochure Supplement.

Cory must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or the Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Kyle J. Ellis
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
KEllis@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Kyle Ellis, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Kyle J. Ellis

YOB: 1993

Business Background:

08/22 - Present – Director, Windsor Advisory Group, LLC

09/15 - 08/22 – Audit Manager, Crowe LLP

Formal Education:

Miami University – BS in Accounting – 05/15

Designations

Certified Public Accountant (CPA)

- CPA is the statutory title of qualified public accountants in the US who have passed the Uniform Certified Public Accountant Examination and have met additional state education and experience requirements. Certification is administered by each state.

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Certified Exit Planning Advisor (CEPA)

- The CEPA designation certifies qualified professional advisors in the exit planning profession and is awarded by the Exit Planning Institute. Certification requires completion of an MBA-style program, passing the proctored exam and meeting additional education and experience requirements.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

Kyle is supervised by John Mozola. John is a Partner of Windsor and his phone number and office address are listed on the front of this Brochure Supplement.

Kyle must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or the Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Part 2B of Form ADV *Brochure Supplement*

Item 1 Cover Page

Paul Geiger
Windsor Advisory Group, LLC
2130 Quarry Trails Dr., 1st Floor
Columbus, Ohio 43228
pgeiger@wag-llc.com
www.windsoradvisorygroup.com
614-545-0300

April 2026

This brochure supplement provides information about our employee, Paul Geiger, that supplements Windsor Advisory Group, LLC's Brochure. You should have received a copy of that Brochure. Please contact Stephanie Weir, CCO, if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Paul Geiger

YOB: 1993

Business Background:

07/25 - Present – Director, Windsor Advisory Group, LLC

08/18 – 07/25 – Director, Meeder Investment Management

Formal Education:

The Ohio State University – BA, Economics. Minor, Business Administration – 12/15

Designations

Investment Adviser Representative (IAR) – State of Ohio

- Must pass exam or meet other qualifications set by the State of Ohio to be registered as an IAR.

Certified Financial Planner ® (CFP®)

- The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.
- To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:
 - Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university).
 - Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
 - Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
 - Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Item 3 - Disciplinary Information

Windsor is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Not Applicable.

Item 5 – Additional Compensation

Not Applicable.

Item 6 – Supervision

Paul is supervised by John Mozola. John is a Partner of Windsor and his phone number and office address are listed on the front of this Brochure Supplement.

Paul must abide by our Code of Ethics and report personal securities activities to our CIO (or designee) or the Partners of the firm. In addition, he must complete annually an Outside Activities Report which provides information on his activities outside of Windsor.

Windsor Advisory Group, LLC

Privacy Policy Notice

While providing you with advisory services, we receive non-public personal information from and about you. Windsor Advisory Group, LLC (“Windsor”) is committed to keeping non-public personal information about you secure and confidential. This notice is intended to help you understand how we fulfill this commitment.

The types of non-public personal information we collect and share depend on the services we provide to you. This information can include:

- Social security number
- Name, address and, telephone number
- Income and assets
- Account transactions and balances

We do not share your non-public personal information, except as required by law or regulation or to provide our advisory services to you. We share your personal information with non-affiliated third parties, such as custodians and investment managers for everyday business purposes. We also share personal information with third parties who provide necessary technical support, legal counsel and accounting or compliance services, for example.

Our agreements with these service providers require them to protect the confidentiality of your information and to use it only to perform the services for which we hired them.

To protect your personal information from unauthorized access and use, we have implemented security measures to comply with Federal law. With respect to our internal security policies, we maintain procedural safeguards for both physical and electronic records to protect your non-public personal information, and to restrict access to this information. If you cease being our client, we continue to protect your information as described in this notice.

While Federal Law gives you the right to limit sharing with affiliates for everyday business purposes or for marketing purposes, Windsor does not have any affiliates and we do not share your information with non-affiliates to market to you.

If you have any questions about our policy, please do not hesitate to contact our Chief Compliance Officer, Stephanie Weir.

Windsor Advisory Group, LLC
2130 Quarry Trails Dr, 1st Floor
Columbus, OH 43228
614-545-0300 | sweir@wag-llc.com